Appl. No. 09/834,171 Amdt. dated October 20, 2003 Reply to Office Action of August 26, 2003



UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

09/834,171

Title

ELECTRONIC SECURITIES MARKETPLACE HAVING

INTERGRATION WITH ORDER MANAGEMENT

SYSTEMS

Applicant(s)

Seth I. Merrin et al.

Filed

April 12, 2001

TC/AU

3624

Examiner

Kyle, Charles R.

Docket No.

363779/0002

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October 20, 2003

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Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Sir:

In response to the Office Action of August 26, 2003, please amend the above-identified application as follows:

AMENDMENT AFTER FINAL

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 12 of this paper.